

# Overcoming Translational Barriers in Neuroprotection: From Molecular Targets to Biomarkers

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## ABSTRACT

Neuroprotection encompasses strategies aimed at preserving neuronal structure and function by mitigating pathological processes such as oxidative stress, excitotoxicity, neuroinflammation, and regulated cell death (RCD). Despite promising preclinical findings, translation to clinical success has been limited due to pharmacokinetic constraints, inadequate blood-brain barrier (BBB) penetration, methodological deficiencies, and a lack of objective biomarkers. Key mechanistic targets include mitochondrial stabilization, modulation of glutamate-induced calcium overload, suppression of proinflammatory glial activation, and inhibition of specific RCD pathways such as necroptosis (RIPK1/RIPK3/MLKL) and ferroptosis (GPX4/ALOX-15). Advanced in vitro platforms—including iPSC-derived organoids and co-culture systems—and rigorously designed in vivo models are essential for mechanistic validation and prediction of clinical efficacy. Translational success further relies on achieving therapeutically relevant unbound drug concentrations in the CNS (C<sub>u,br</sub>) and integrating clinically validated biomarkers (e.g., plasma NfL, GFAP) into preclinical endpoints. Emerging therapeutic strategies encompass CNS-penetrant small molecules, biologics, cell-derived extracellular vesicles, and phytochemicals delivered via advanced formulations. This review emphasizes the necessity of mechanism-specific, biomarker-driven, and rigorously validated approaches to overcome historical translational failures and realize clinically effective neuroprotective interventions.

**Keywords:** Neuroprotection, Regulated Cell Death, Necroptosis, Ferroptosis, Oxidative Stress, Neuroinflammation, Blood-Brain Barrier, Translational Biomarkers, iPSC-Derived Organoids, CNS Therapeutics

## Introduction to Neuroprotection and the Translational Imperative

### Defining Neuroprotection, Goals, and Scope

Neuroprotection encompasses pharmacological, biological, and nutritional strategies aimed at mitigating or halting neuronal injury and death. These interventions seek to preserve neuronal integrity and function, thereby slowing the progression of chronic neurodegenerative diseases such as Alzheimer's disease (AD) and Parkinson's disease (PD), as well as mitigating acute neurological insults including ischemic stroke, traumatic brain injury (TBI), and spinal cord injury. Despite the heterogeneity of clinical phenotypes, these conditions share convergent molecular pathologies, notably excitotoxic calcium dysregulation, oxidative stress-induced mitochondrial dysfunction, neuroinflammatory signaling, and aberrant activation of regulated cell death pathways, including apoptosis, necroptosis, and ferroptosis. Targeting these mechanisms forms the cornerstone of contemporary neuroprotective research. The scope of neuroprotective intervention is ambitious. It involves maintaining cellular homeostasis against these converging pathologies, preventing widespread neuronal loss, and, ideally, fostering an environment conducive to functional recovery following injury. <sup>(1)</sup>

### The Crisis of Clinical Translation in Neuroprotection

Despite extensive preclinical success, the clinical translation of neuroprotective agents has been largely disappointing, constituting a recognized crisis in the field. This failure arises from a complex interplay of

biological, pharmacological, and methodological limitations.

**Preclinical Model Limitations:** In vitro systems, including co-cultures and iPSC-derived neuronal models, often oversimplify human neuropathology and fail to recapitulate the metabolic, vascular, and immune interactions of the neurovascular unit (NVU)

**Pharmacokinetic Constraints:** The blood-brain barrier (BBB) presents a significant obstacle, limiting the delivery of therapeutic agents to the central nervous system (CNS). Compounds demonstrating efficacy in cell cultures frequently fail to achieve therapeutic concentrations in vivo due to poor BBB penetration

**Methodological Deficiencies:** Preclinical studies often lack rigorous design elements such as randomization, blinding, and adherence to standardized reporting guidelines (e.g., STAIR, ARRIVE), leading to inflated efficacy signals and poor reproducibility

**Clinical Trial Challenges:** Human studies face additional obstacles, including patient heterogeneity, narrow therapeutic windows, and the absence of rapid, objective biomarkers for target engagement or neuroprotection

Addressing these systemic barriers is essential to enhance the predictive power of preclinical research and improve the translational pipeline for neuroprotective therapeutics. <sup>(1)</sup>

### Core Mechanistic Targets and Regulated Cell Death Pathways

Neuronal viability hinges on tightly regulated energy and immune processes. Neuroprotective strategies are defined by their ability to interrupt the destructive loops initiated by metabolic and immunological insults.

### Oxidative Stress and Mitochondrial Dysfunction

Neurons are highly metabolically active and exceptionally sensitive to oxidative stress, where reactive oxygen species (ROS) and reactive nitrogen species (RNS) exceed intrinsic antioxidant defenses. Mitochondria are central to this pathology, functioning both as the primary ROS source (via the electron transport chain) and as a principal target of oxidative damage. Mitochondrial dysfunction impairs ATP production and triggers mitochondrial permeability transition pore (mPTP) opening, leading to cytochrome c release and activation of intrinsic apoptotic cascades. Dysregulation of the Nrf2-ARE pathway further compromises antioxidant defenses, reducing expression of glutathione, superoxide dismutase, and catalase.

Neuroprotective strategies now focus on enhancing endogenous defenses rather than indiscriminate ROS scavenging. Nrf2 activators (e.g., dimethyl fumarate, sulforaphane) upregulate antioxidant and detoxification pathways, while mitochondrial stabilizers (e.g., coenzyme Q10, creatine) preserve mitochondrial integrity and prevent mPTP opening. <sup>(2)</sup>

Neuroprotective efforts targeting oxidative stress have shifted from nonspecific ROS scavengers (like Vitamin E) to agents that modulate endogenous capacity. Nrf2 activators, such as dimethyl fumarate or sulforaphane, enhance cellular detoxification and long-term antioxidant defense. Mitochondrial stabilizers, exemplified by coenzyme Q10 or creatine, aim to preserve function and prevent mPTP opening. <sup>(2)</sup>

### Excitotoxicity and Calcium Dysregulation

Excitotoxicity results from sustained glutamate receptor (NMDA/AMPA) activation, causing excessive  $\text{Ca}^{2+}$  influx. Elevated intracellular  $\text{Ca}^{2+}$  activates destructive enzymes (calpains, caspases) and nitric oxide synthase (nNOS), exacerbating oxidative stress and mitochondrial depolarization. NMDA receptor antagonists, such as memantine, show modest clinical benefit but are limited by narrow therapeutic windows and potential disruption of physiological signaling, highlighting the need for selective modulators of pathological glutamate activity. <sup>(2)</sup>

### Neuroinflammation, Glial Activation, and Immune Signaling

Neuroinflammation is a complex, double-edged immune response mediated primarily by resident microglia and

astrocytes. Initially, these cells are protective, engaging in debris clearance and releasing neurotrophic factors (e.g., BDNF). However, chronic or acute overactivation drives a pathogenic response, characterized by microglial polarization toward the proinflammatory M1 phenotype, leading to the release of neurotoxic cytokines (IL-1 $\beta$ , TNF- $\alpha$ , IL-6) and ROS/RNS, which exacerbates neuronal loss. Reactive astrocytes also contribute by releasing inflammatory mediators and glutamate. <sup>(2)</sup>

A key link between metabolic and inflammatory injury involves the concept of Damage-Associated Molecular Patterns (DAMPs). Dysfunctional mitochondria produce high levels of ROS, which act as DAMPs, potentially activating the NLRP3 inflammasome. This activation initiates a strong inflammatory response. Therefore, successful neuroprotection often requires strategies that promote the anti-inflammatory M2 phenotype in microglia, suppress the release of proinflammatory cytokines, or directly block DAMP signaling. Agents like minocycline and natural compounds such as curcumin and resveratrol have demonstrated preclinical efficacy in reducing glial activation. <sup>(3)</sup>

### **Advanced Regulated Cell Death (RCD) Pathways: Necroptosis and Ferroptosis**

The current paradigm in neuroprotection recognizes that cellular demise is often executed through genetically controlled, non-apoptotic mechanisms, making these pathways attractive, specific therapeutic targets. <sup>(3)</sup>

#### **Necroptosis: RIPK1/RIPK3 and the Clinical Pipeline**

Necroptosis is a regulated form of necrosis, distinguished by the activation of a signaling complex known as the necrosome (RIPK1 $\rightarrow$ RIPK3 $\rightarrow$ MLKL). When caspase-8 activity is inhibited (a common event in severe ischemia), RIPK1 is phosphorylated and initiates this cascade, ultimately leading to MLKL phosphorylation, oligomerization, membrane rupture, and uncontrolled release of inflammatory contents (DAMPs). Necroptosis is implicated in the pathogenesis of TBI, ischemic brain injury, AD, and PD. <sup>(3)</sup>

The development of potent, CNS-penetrant RIPK1 inhibitors represents a major translational victory. Small molecules targeting the allosteric pocket of RIPK1, such as the necrostatins (Nec-1, a tool compound), demonstrated proof-of-concept in preclinical models of ischemia and neuroinflammation. Building upon this, Denali Therapeutics and Sanofi developed clinical candidates: SAR443060 (formerly DNL747) is a selective, orally bioavailable RIPK1 inhibitor that was evaluated in Phase I/Ib studies in healthy subjects and patients with AD and ALS. Pharmacokinetic-pharmacodynamic (PK-PD) modeling suggested that SAR443060 doses achieved greater than 80% inhibition of

pRIPK1 (phosphorylated RIPK1) throughout the twice-daily dosing interval, confirming robust target engagement and CNS penetration. Furthermore, SAR443820 (DNL788), a CNS-penetrant RIPK1 inhibitor, progressed to a Phase 2 clinical trial for Multiple Sclerosis, confirming the therapeutic potential of blocking this specific RCD pathway across multiple inflammatory and neurodegenerative conditions. The clinical advancement of these highly specific RCD inhibitors is a strong indicator that targeting executioner mechanisms is more viable than utilizing generalized strategies. <sup>(4)</sup>

#### **Ferroptosis: The Iron-Dependent Lipid Crisis**

Ferroptosis is a recently defined RCD pathway that is dependent on iron and characterized by the catastrophic accumulation of lipid peroxides. This process is driven by the depletion of the master antioxidant glutathione (GSH), which subsequently inactivates the lipid repair enzyme glutathione peroxidase 4 (GPX4). The presence of labile iron, often accumulating in pathological conditions such as TBI, PD, and AD, catalyzes the formation of highly toxic lipid ROS via Fenton chemistry.

Key molecular markers reflect this pathology. Reduced levels of GSH and GPX4, along with increased accumulation of the toxic lipid aldehyde 4-hydroxynonenal (4-HNE), are consistently observed in the vulnerable neuronal populations of PD (substantia nigra, SN) and AD (hippocampus and cortex). Furthermore, elevated expression of the Transferrin Receptor (TFRC/TfR1) enhances iron influx, and increased levels of Acyl-CoA

Synthetase Long-Chain Family Member 4 (ACSL4) promote the incorporation of susceptible fatty acids into membranes, increasing vulnerability to ferroptosis.<sup>(4)</sup>

Therapeutic interventions are focused on three strategies: 1) **Iron Chelation** (e.g., deferoxamine), 2) **GPX4 restoration** (e.g., N-acetylcysteine), and 3) **Inhibition of Lipid Peroxidation** (e.g., Ferrostatin-1, Liproxstatin-1). An important target is the ALOX-15 (15-lipoxygenase) enzyme, which is critical in generating lipid hydroperoxides. The ALOX-15 specific inhibitor ML351 successfully inhibited cardiomyocyte ferroptosis, protected injured myocardium, and restored cardiac function following ischemia/reperfusion injury. Similarly, the anti-ferroptotic reductive lipoxygenase inhibitor PTC-041 demonstrated potent protection against lipid peroxidation, prevented neuronal loss in primary rat neuronal cultures, and mitigated motor deficits and  $\alpha$ -synuclein aggregation in preclinical PD models (6-OHDA rats and transgenic Line 61 mice). The success of these specific enzyme inhibitors suggests that targeting the core mechanism of regulated cell death—be it necroptosis or ferroptosis—yields a more robust and translatable neuroprotective effect than generalized ROS scavenging.<sup>(5)</sup>

**Table 1:** Key Markers and Inhibitors of Emerging Regulated Cell Death (RCD) in Neurodegeneration

RCD Pathway	Pathological Mechanism	Disease Links	Preclinical/Clinical Inhibitor Examples
Ferroptosis	Iron-dependent lipid peroxidation; GPX4 inactivation; accumulation of lipid ROS (4-HNE, lipid peroxides)	AD, PD (SN loss), Ischemic Stroke, TBI	Ferrostatin-1 (Tool), Iron Chelators (Deferoxamine), ALOX-15 inhibitors (ML351, PTC-041)
Necroptosis	RIPK1/RIPK3/MLKL activation; Membrane rupture; DAMP release	ALS, MS, TBI, Ischemic Stroke, AD	Necrostatin-1 (Tool), CNS-penetrant RIPK1 inhibitors (SAR443060/DNL747, SAR443820/DNL788)
Apoptosis	Caspase activation; Cytochrome c release; DNA fragmentation	AD, PD, Stroke (Penumbra)	Caspase inhibitors, Bcl-2 modulators, Mitochondrial stabilizers

## Preclinical Models: Design, Assessment, and Rigor in the CNS

The successful translation of neuroprotective therapies depends on utilizing preclinical models that accurately reflect human disease pathology and assessing efficacy using rigorous, multimodal methodologies.

## In Vitro Screening Platforms: Strengths, Limitations, and Advanced Systems

### Traditional Cell Lines vs. Primary Cultures

Neuroprotective compound screening traditionally begins with high-throughput screening (HTS) in immortalized cell lines due to their ease of culture and scalability. SH-SY5Y cells, derived from human neuroblastoma, are commonly differentiated for use in PD models, studying toxins like rotenone or MPP<sup>+</sup>. The HT22 mouse hippocampal line is particularly valuable for studying oxidative stress independent of excitotoxicity, as it naturally lacks NMDA receptors, making it an excellent platform for testing ferroptosis inhibitors or Nrf2 activators under glutathione depletion paradigms.

For studies requiring higher physiological relevance, primary neuronal cultures derived from rodents (e.g., cortex, hippocampus, or substantia nigra) are necessary. These systems retain native synaptic function and morphology, making them ideal for electrophysiology and modeling complex neurotransmitter responses. Co-culturing primary neurons with primary astrocytes or microglia is crucial for modeling non-cell-autonomous toxicity and neuroimmune interactions, which are critical in understanding neurodegenerative processes.<sup>(5)</sup>

### Advanced 3D Modeling: iPSC-Derived Organoids for HTS

A key evolution in neuroprotection research involves the use of patient-derived iPSCs, which can be

differentiated into specific neuronal subtypes or complex brain organoids (COs). These platforms enable the modeling of patient-specific genetics (e.g., LRRK2 mutations in PD, APOE variants in AD). This represents a crucial step toward personalized medicine, as researchers can now screen compounds against a patient's specific disease mechanisms.

However, adapting these systems for HTS requires significant methodological optimization. Traditional organoid generation protocols often involve embedding cells in Matrigel droplets, leading to variability in size, maturity, and inconsistent focal planes for imaging. To overcome this, researchers are establishing miniaturized 3D culture platforms compatible with 96- or 384-well plates, often using coated microwell plates instead of Matrigel droplets to allow for automated focus scanning and high-content imaging (HCS). By standardizing size and maturation protocols, these human-relevant models can transition from being specialized research tools to efficient HTS platforms, enabling the identification of therapeutic agents tailored to specific genetic backgrounds, thereby significantly improving the probability of clinical success before costly animal trials are initiated. <sup>(6)</sup>

### Essential Multimodal Endpoints for In Vitro Efficacy

Relying on a single endpoint, such as a basic metabolic assay (e.g., MTT), is insufficient and risks yielding spurious results. Robust in vitro neuroprotection requires a multiparametric approach combining orthogonal assays:

- **Viability and Cytotoxicity:** LDH release, Resazurin reduction, and Trypan Blue exclusion provide basic survival data.
- **Mechanistic Assays:** Ca<sup>2+</sup> imaging (Fluo-4), mitochondrial membrane potential ( $\Delta\Psi_m$  via JC-1), and ATP content define cellular health.
- **RCD Specificity:** Specific markers like Caspase-3/7 activity (for apoptosis) or the GSH/GSSG ratio and DCFDA (for oxidative RCD/ferroptosis) are necessary to confirm the mechanism of protection.
- **Functional Assays:** Quantification of neurite outgrowth (using HCS) and assessment of network activity via Multi-Electrode Arrays (MEAs) provide functional metrics that are critical for assessing true neurorestoration potential. <sup>(6)</sup>

### In Vivo Modeling: Standardized Paradigms and Functional Readouts

In vivo animal models are essential for integrating pharmacological agents into an intact biological system, allowing for the evaluation of BBB penetration, metabolism, systemic inflammation, and, critically, functional recovery.

#### Acute Ischemic Stroke (MCAO/tMCAO): Rigor and Standardization

The Middle Cerebral Artery Occlusion (MCAO) model in rats or mice is the gold standard for modeling focal ischemic stroke, particularly the transient variant (tMCAO) which models ischemia-reperfusion injury. The reliability of this model, however, is exquisitely sensitive to experimental rigor.

The failure of many anti-stroke agents in clinical trials has been directly linked to methodological deficiencies in preclinical MCAO studies. Guidelines from the Stroke Therapy Academic Industry Roundtable (STAIR) and the ARRIVE initiative mandate strict control and comprehensive reporting. Key requirements include:

- **Physiological Monitoring:** Maintaining the animal's core temperature ( $37.0 \pm 0.5$  °C) and monitoring critical physiological parameters (blood pressure, blood gases) are vital. A retrospective analysis demonstrated that, despite the wide adoption of these guidelines, the frequency of reporting temperature, blood pressure, and blood gas monitoring actually decreased between 2009 and 2019. This continued deficit in reporting essential procedural quality undermines the reproducibility of results.
- **Ischemia Verification:** The gold standard for confirming successful occlusion and subsequent reperfusion is Cerebral Blood Flow (CBF) measurement, typically using laser Doppler.

- **Surgical Consistency:** The choice of surgical tool impacts outcomes; silicone-coated monofilaments are generally preferred over flame/heat-blunted filaments because they result in lower rates of subarachnoid hemorrhage (SAH) and less variable infarct volumes.
- **Unbiased Endpoint Assessment:** Infarct volume, typically assessed 24 to 72 hours post-ischemia using 2,3,5-triphenyltetrazolium chloride (TTC) staining, must be calculated with correction for tissue edema.

Furthermore, the practice of **a priori sample size calculation**, essential for ensuring statistical power, is reported in less than 10% of studies, suggesting that most preclinical neuroprotection studies are inadequately powered, further contributing to unreliable findings and the translational pipeline failure.

**Table 2:** Critical Quality Control Parameters for Translational MCAO Neuroprotection Studies

Experimental Parameter	Standard/Guideline (e.g., STAIR/ARRIVE)	Relevance to Reproducibility	Status in Published Literature (2009-2019)
Core Temperature Control	37.0 ± 0.5 °C (required reporting)	Minimizes variability in infarct volume; prevents confounding thermal effects	Reporting decreased over time
Ischemia Verification	Cerebral Blood Flow (CBF) measurement (Laser Doppler)	Gold standard for confirming required degree of ischemia	Rarely applied
Bias Minimization	Randomization and Blinding	Essential for unbiased data interpretation (ARRIVE Essential 10)	Increased over time, but still insufficient
Sample Size Reporting	A priori calculation based on variability and $\alpha/\beta$ error	Ensures statistical power and validity	Rarely reported (<10%)

## Chronic Neurodegeneration and TBI Models

For chronic neurodegenerative disorders, model choice is dictated by the specific pathology under investigation.

- **Parkinson's Disease:** Toxin models, such as unilateral injection of 6-hydroxydopamine (6-OHDA) or systemic MPTP administration in mice, induce acute loss of dopaminergic (DA) neurons in the substantia nigra pars compacta (SNpc). Efficacy must be quantified using immunohistochemistry for tyrosine hydroxylase (TH+ neuron counts), ideally via stereology, combined with quantitative motor behavioral readouts (e.g., amphetamine-induced rotations, pole test).
- **Alzheimer's Disease:** Transgenic models (e.g., APP/PS1, 3xTg-AD, 5xFAD) are used to study amyloid-beta (A $\beta$ ) plaque burden, tau phosphorylation, and cognitive decline. Key behavioral assays include the Morris Water Maze (MWM) for spatial learning and memory and the Novel Object Recognition (NOR) test for recognition memory.
- **TBI:** Models like Controlled Cortical Impact (CCI) or Fluid Percussion Injury (FPI) are used to simulate blunt trauma, producing focal contusion, edema, and inflammation. Outcomes rely on lesion volumetry and behavioral assessments (e.g., rotarod, MWM for long-term cognitive deficits).

## Advanced Preclinical and Translational Best Practices

To bridge the chasm between preclinical efficacy and clinical success, neuroprotection research must adopt a set of advanced pharmacological and methodological best practices.

### Pharmacokinetics and CNS Exposure: Overcoming the BBB

#### The Free Drug Hypothesis: Cu,br as the Efficacy Standard

A significant roadblock in drug translation is the historic reliance on non-predictive pharmacokinetic parameters.

Historically, CNS drug discovery focused on maximizing the total brain/plasma ratio ( $K_p$ ). However,  $K_p$  reflects both unbound and tissue-bound drug concentration, with the latter being pharmacologically inert.

The **Free Drug Hypothesis** posits that pharmacological effect is governed by the concentration of the unbound drug available at the target site within the brain interstitial fluid ( $C_{u,br}$ ). Consequently, total brain levels ( $K_p$ ) or even BBB permeability measures do not reliably correlate with pharmacodynamic (PD) endpoints or clinical efficacy. This necessitates measuring the unbound fraction in the brain ( $f_{u,br}$ ) and calculating  $C_{u,br}$ . While in vivo microdialysis is the gold standard for  $C_{u,br}$  measurement, less resource-intensive in vitro methodologies—such as equilibrium dialysis of brain homogenates or brain slice incubations—can be used to estimate  $f_{u,br}$ , which is then combined with total brain concentration to yield  $C_{u,br}$ . Importantly, recent advances in specialized

in vitro BBB co-culture models, utilizing endothelial and glial cells, have shown success in accurately predicting in vivo  $C_{u,br}/C_{u,pl}$  ratios for a large panel of drugs, enabling earlier selection of candidates with desirable CNS exposure profiles.

### Efflux Transporters and Advanced Delivery Strategies

The BBB acts as a physical and functional barrier. Functionally, it employs efflux transporters, notably P-glycoprotein (P-gp), which actively extrude many lipophilic drug candidates from the brain back into the bloodstream. Successful neuroprotective agents must either be poor substrates for these transporters or achieve plasma concentrations high enough to overcome the efflux mechanism.

For large molecules or those inherently restricted by the BBB, advanced delivery strategies are required :

- **Nanotechnology:** Utilizing carrier materials such as nanoparticles (NPs) or dendrimers allows for modified transport. Nanoparticles can be surface-functionalized with ligands (peptides, antibodies) or polyethylene glycol (PEG) to enhance circulation time and facilitate active transport via receptor-mediated transcytosis (RMT) or adsorptive-mediated transcytosis (AMT). For example, N-acetylcysteine (NAC) loaded polyamidoamine dendrimers have been developed to suppress neuroinflammation in cerebral palsy models.
- **BBB Disruption:** While invasive, focused ultrasound or osmotic opening can temporarily increase permeability to facilitate drug delivery.

### Translational Biomarkers: Bridging Preclinical Efficacy to Human Outcomes

A critical factor contributing to translational failure is the use of non-objective, non-quantifiable, and highly variable preclinical endpoints (e.g., motor scores) that have poor correlation with human clinical outcomes. The strategic integration of objective fluid biomarkers, quantifiable in both animal models and human patients, is essential to validate target engagement and disease modification.

### Neuronal and Glial Injury Markers (NfL, GFAP, UCH-L1)

These structural protein markers, measured in cerebrospinal fluid (CSF) or peripheral plasma via highly sensitive assays (e.g., Simoa), provide an objective measure of neuronal and glial damage.

- **Neurofilament Light (NfL):** NfL is a cytoskeleton component released upon axonal injury. Preclinical models of acute TBI and stroke show robust changes in NfL levels. Clinically, plasma NfL levels correlate directly with infarct volume, functional outcome, and mortality in stroke patients. Implementing plasma NfL as a co-primary endpoint in preclinical MCAO studies, correlating drug-induced reductions in NfL with corresponding reductions in lesion volume, offers a highly objective validation that the intervention is affecting a human-relevant biological process.
- **Glial Fibrillary Acidic Protein (GFAP):** GFAP is an intermediate filament protein specific to astrocytes. Elevated plasma GFAP reliably indicates astroglial activation or injury. GFAP, often paired with UCH-L1 (ubiquitin C-terminal hydrolase L1, a neuronal trauma marker), is used clinically to

diagnose TBI, correlating with pathoanatomical lesions. GFAP is also an emerging marker for chronic neuroinflammation in AD.

- **LPC Lipids:** Lysophosphatidylcholines (LPC) are conditional danger-associated molecular patterns (DAMPs) that induce sterile inflammation. Elevated brain LPC levels are associated with lesion development and poorer functional outcomes in preclinical ischemic stroke models (tMCAO). While the correlation between brain and plasma levels requires thorough investigation, plasma LPC shows promise as a prognostic marker for stroke severity.

## Pathological and Synaptic Biomarkers

For chronic neurodegeneration, the use of pathology-specific biomarkers is well established.

- **Alzheimer's Disease:** Low CSF A $\beta$ 42 levels consistently correlate with high fibrillar amyloid plaque load observed in vivo via PET imaging. Furthermore, plasma and CSF levels of phosphorylated Tau (pTau) isoforms are now widely accepted as reliable indicators of disease pathology and progression.
- **Neuroinflammation:** CSF levels of soluble TREM2 (sTREM2) are increased in the preclinical stage of AD, reflecting microglial activation. Utilizing panels of multiplexed cytokines and chemokines (e.g., IL-1 $\beta$ , TNF- $\alpha$ ) in CSF allows for detailed monitoring of neuroinflammatory response in trials.

**Table 3:** Fluid-Based Translational Biomarkers in Acute and Chronic CNS Injury

Biomarker	Cellular Origin / Pathology	Acute Injury (Stroke/TBI) Relevance	Chronic Disease (AD/PD) Relevance
Neurofilament Light (NfL)	Axonal damage, Neuronal loss	Strong correlation with infarct volume and functional outcome in patients	Monitors neurodegeneration rate in PD, AD, MS
Glial Fibrillary Acidic Protein (GFAP)	Astrocyte activation / Injury	TBI diagnostic; reflects acute glial reactivity	Early stage AD marker; reflects astroglial pathology
UCH-L1	Neuronal cytoplasm / Axonal injury	Early marker of acute neuronal trauma (TBI diagnostic panel)	Potential relevance to PD, though plasma correlation is inconclusive
Phospho-Tau (pTau)	Neurofibrillary tangles; synaptic dysfunction	Minimal acute use	Highly specific for AD pathology; correlates with PET amyloid burden

## Representative Therapeutic Classes and Clinical Trajectories

### Small Molecules and Repurposed Agents

Small molecules remain the backbone of pharmacological neuroprotection due to their advantageous synthesis, formulation, and dosing control. The clinical failure history, however, provides vital lessons regarding the necessity of rigor and mechanistic specificity. Translational Failure Analysis: NXY-059 and Nerinetide (NA-1)

The failures of large-scale stroke trials, such as the SAINT II trial for the radical scavenger NXY-059, underscore the cost of compromised methodology. Analysis showed that the robust efficacy seen in preclinical studies could not be reproduced across multiple independent academic institutions and was confounded by publication bias and a failure to rigorously test clinically relevant time windows. More recently, the neuroprotective agent Nerinetide (NA-1), which targets PSD-95 protein interactions, failed to meet its primary functional outcome endpoint (mRS 0–2 at 90 days) in the overall cohort of acute ischemic stroke patients undergoing endovascular therapy (ESCAPE-NA1 trial). However, a critical subgroup analysis revealed a statistically significant benefit in functional outcomes, mortality, and infarct size among patients who

did not receive the standard-of-care thrombolytic agent alteplase. This finding is highly significant: it suggests that neuroprotection is biologically achievable in humans but that efficacy is critically dependent on patient stratification and may be negated by adverse drug-drug interactions (DDI) with concurrent therapies. Future preclinical validation studies must therefore explicitly test the candidate neuroprotective agent in combination with current clinical standards of care to identify potential inhibitory interactions, thereby preventing conditional efficacy failures in later clinical phases.

### **Biologics and Cell-Based Therapies**

Biologics offer potent, mechanism-specific interventions but face significant hurdles related to systemic delivery and immunogenicity.

#### **Neurotrophic Factor Delivery (GDNF Gene Therapy)**

Glial Cell Line-Derived Neurotrophic Factor (GDNF) is highly protective for DA neurons but cannot cross the BBB effectively. To overcome this, strategies involving gene therapy are being pursued. A viral vector, AAV2-GDNF, is designed to encode the trophic factor and is delivered directly to the putamen of PD patients via bilateral stereotactic convection-enhanced delivery. This delivery method aims for sustained, localized expression of GDNF. This approach has advanced to Phase 2 trials (REGENERATE-PD), demonstrating a favorable safety profile and continued positive trends in clinical measures for moderate-stage PD.

#### **Stem Cell-Derived Extracellular Vesicles (EVs)**

Extracellular vesicles (EVs), including exosomes, are naturally occurring nanocarriers that transfer molecular cargo (proteins, mRNA, microRNAs) between cells. EVs derived from stem cells, such as neural stem cells (NSC EVs), are being explored as drug delivery systems and as therapies themselves, carrying neuroprotective factors (e.g., miRNA-30d/30a) that can modulate neuroinflammation and cell signaling in recipient cells.

Preclinical studies demonstrated that acute intravenous administration of NSC EVs in a rat TBI model resulted in neuroprotection, evidenced by reduced lesion volume and enhanced motor function recovery. A crucial finding in these studies was the observation of a gender-dependent therapeutic effect, with significant benefits in motor function primarily noted in male rats. This highlights the necessity of addressing sex as a biological variable in preclinical neuroprotection studies, analyzing outcomes in both sexes to avoid misleading efficacy conclusions that are relevant to only a single population subgroup.

### **Natural Products and Phytochemicals**

Natural products, such as flavonoids like Quercetin and Resveratrol, are attractive due to their pleiotropic mechanisms, including potent antioxidant and anti-inflammatory properties. Preclinical evidence supports their role in mitigating secondary damage, such as cerebral edema following TBI (e.g., Quercetin).

However, their translation is severely hampered by poor pharmacokinetics. Many neuroprotective phytochemicals exhibit very low BBB permeability *in vivo*. While compounds like Quercetin show low but measurable brain penetration, others like Resveratrol and Fisetin exhibit very low permeability, failing to reach therapeutic  $C_{u,br}$  levels when administered systemically. To leverage the inherent neuroprotective benefits of these compounds, sophisticated formulation strategies, such as liposomal or nanoparticle encapsulation, must be employed to facilitate RMT/AMT across the BBB.

## **CONCLUSION AND FUTURE DIRECTIONS**

The journey to developing clinically viable neuroprotective agents is characterized by profound mechanistic challenges and historical methodological failings. However, the field is undergoing a critical, positive pivot rooted in enhanced scientific rigor and sophisticated target identification.

The future success of neuroprotection hinges on four actionable recommendations:

1. **Prioritize Regulated Cell Death Inhibition:** Therapeutic development must focus on specific, executioner pathways like Necroptosis (RIPK1/RIPK3) and Ferroptosis (GPX4/ALOX-15). The advancement of CNS-penetrant RIPK1 inhibitors into Phase 2 trials demonstrates that specific blockade of RCD pathways offers a robust strategy that overcomes the limitations of generalized antioxidant approaches.
2. **Mandate Rigorous Methodological Standards:** The pervasive deficits in methodological reporting in preclinical literature must be resolved. Full adherence to STAIR and ARRIVE guidelines, including mandatory reporting of physiological parameters (temperature, CBF, BP) and performing a priori sample size calculations, is essential to generate reproducible and translationally meaningful data, limiting the high variability that obscured efficacy in historic trials.
3. **Optimize Pharmacokinetics for Cu,br:** Candidate selection must move beyond the non-predictive Kp ratio. Compounds must be designed or delivered (via RMT, NPs, or gene therapy) to achieve quantifiable, therapeutic unbound concentrations (Cu,br) in the brain interstitial fluid, ensuring the drug reaches the molecular target at an effective level.
4. **Integrate Translational Biomarkers into Preclinical Endpoints:** Preclinical studies must adopt clinically validated fluid biomarkers, such as plasma NfL and GFAP, as critical quantitative outcome measures. Correlating reductions in these circulating markers of neuronal/glial injury with lesion size and functional recovery provides an objective, clinically relevant validation of the neuroprotective effect, significantly de-risking the subsequent clinical trial phase.

By adopting this disciplined, biomarker-driven, and mechanism-specific approach, the neurotherapeutics community can overcome the translational hurdles and finally realize the promise of true neuroprotection.

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